

Board AI Posture Report

The six sections a board or audit committee needs to answer one question:

do we know where AI is being used, what could go wrong, who is accountable, and can we show it to a regulator or a customer?

COMPANY

REPORTING PERIOD

PREPARED BY

PRESENTED TO

DATE

How to use this template

1. Build the inventory (section 2) first. It is always longer than leadership expects, and every other section depends on it.
2. Score each use case in the risk register (section 3), then map the program to frameworks (section 4) and to the obligations that apply to your firm (section 5).
3. Write the one-page summary (section 1) last, from what the other sections show. It is the only page most directors will read closely.
4. End with the 90-day roadmap (section 6). Every line gets a named owner and a date.

01 One-page board summary

One page, no jargon. The board should finish it knowing the firm's AI exposure, what has changed since the last report, and what it is being asked to decide.

BOTTOM LINE (TWO OR THREE SENTENCES)

Where AI is used, how well it is governed, and the single biggest exposure.

THREE THINGS THAT NEED A DECISION

1.
2.
3.

THREE THINGS THAT NEED MONEY

1.
2.
3.

MEASURE	THIS PERIOD	LAST PERIOD	DIRECTION
AI systems inventoried			
Of which agentic (can call tools or act)			
Use cases rated high risk			
Open critical findings (with owner and date)			
Unapproved AI tools discovered			

Tip: lead with the answer, not the activity. "We found 14 AI systems; 2 need a decision from this committee" beats "We completed an AI inventory exercise."

02 AI inventory

Every model, every vendor feature with AI built in, and every tool an employee signed up for without telling anyone. Include pilots.

SYSTEM OR FEATURE	INTERNAL / VENDOR	BUSINESS OWNER	DATA IT TOUCHES	ASSISTS OR DECIDES?	CAN ACT / CALL TOOLS?	APPROVED?
Support chatbot	Vendor	VP Customer Ops	Customer PII, tickets	Assists	Yes: CRM export	Yes

Where to look: SSO and expense logs, browser extensions, vendor release notes, the marketing and support stacks, and developer tooling (copilots, MCP servers, API keys).

03 Model risk register

Each use case scored for what it touches, what it decides, and what happens if it is wrong.

USE CASE	WHAT IT TOUCHES	WHAT IT DECIDES	IMPACT IF WRONG	LIKELIHOOD	RATING	KEY CONTROLS	OWNER
Support chatbot	Customer PII	Replies, exports	Data disclosure, notifiable event	Medium	High	Human approval on export	CISO

04 Framework alignment

Where the program stands against NIST AI RMF and ISO/IEC 42001, the two reference points regulators and auditors now use.

AREA	STATUS	EVIDENCE YOU COULD SHOW TODAY	GAP
NIST AI RMF · Govern	☐ None ☐ Partial ☐ In place		
NIST AI RMF · Map	☐ None ☐ Partial ☐ In place		
NIST AI RMF · Measure	☐ None ☐ Partial ☐ In place		
NIST AI RMF · Manage	☐ None ☐ Partial ☐ In place		
ISO/IEC 42001 · AI policy and roles	☐ None ☐ Partial ☐ In place		
ISO/IEC 42001 · AI risk and impact assessment	☐ None ☐ Partial ☐ In place		
ISO/IEC 42001 · Third-party and supplier AI	☐ None ☐ Partial ☐ In place		

05 Regulatory map

The specific obligations that apply to this firm, mapped to what the firm is actually doing about them. Delete rows that don't apply; add sector and contractual obligations.

OBLIGATION	APPLIES BECAUSE	WHAT WE DO	EVIDENCE	GAP / OWNER
NYDFS Part 500 · §500.9 risk assessment covers AI				
NYDFS Part 500 · annual certification (April 15)				
State adoption of the NAIC AI model bulletin				
Colorado Reg 10-1-1 (insurers)				
EU AI Act (if serving the EU market)				
Customer contracts and security questionnaires				

06 90-day roadmap with named owners

A report that doesn't end in accountability is a longer version of "we're looking into it." Every line gets a person and a date.

ACTION	OWNER	DUE	STATUS	BOARD ASK
Require human approval on the support agent's data export	Head of Support Eng.	30 days	In progress	None

Self-check before it goes to the board

- ☐ The inventory includes vendor AI features and unapproved tools, not only internal projects.
- ☐ Every high-risk use case has a named owner and at least one control you can evidence.
- ☐ The summary fits on one page and asks for specific decisions.
- ☐ Every roadmap line has an owner and a date.

If you can produce all six sections on request, you are in good shape. If you can produce two, you have a board-reporting problem that a regulator or a customer will eventually find for you.

Want help building the first one? RedOps builds board-ready AI posture reports for regulated firms, as part of an **AI Governance Baseline** or a **Fractional CISO** retainer. Book a 20-minute scoping call at **redops.ai**.